

JC14 Rec'd PCT/PTO 11 DEC 2001  
10/009607

December 11, 2001



23911

PATENT TRADEMARK OFFICE

BOX PCT

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Attorney Docket No 1830/50520

Re: Transmittal Letter to the United States  
Designated/Elected Office (DO/EO/US)  
Concerning a Filing Under 35 U.S.C. §371

International Application No.: PCT/JP01/03377  
International Filing Date: 20 April 2001

Priority date claimed: 21 April 2000  
Priority application number: 2000-120975

Inventorship: Masahiro IMOTO  
Tatsuya IWANAMI  
Minako AKABANE  
Yoshihiro TANI

Title: NOVEL HETEROCYCLIC COMPOUNDS

Enclosed herewith for entering the national stage in the  
United States is the above-referenced international  
application.

APPLICANT WISHES THAT THE ANNEXES TO THE INTERNATIONAL  
PRELIMINARY EXAMINATION REPORT REPLACE THE APPROPRIATE PAGES  
OF THE CLAIMS AS FILED.

1. ☒ This is a FIRST submission of items concerning a  
filing under 35 U.S.C. §371.
2. ☐ This is a SECOND or SUBSEQUENT submission  
of items concerning a filing under 35  
U.S.C. §371.
3. ☐ This express request to begin national examination  
procedures (35 U.S.C. §371(f)) at any time rather  
than delay examination until the expiration of the  
applicable time limit set in 35 U.S.C. §371(b) and  
PCT Articles 22 and 39(1).

INTERNATIONAL APPLN. NO.: PCT/JP01/03377  
ATTORNEY DOCKET NO.: 1830/50520

JC07 Rec'd PCT/PTO 11 DEC 2001  
10/009607

4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
- a. \_\_\_\_\_ is transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☒ has been transmitted by the International Bureau
- c. \_\_\_\_\_ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. §371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
- a. \_\_\_\_\_ are transmitted herewith (required only if not transmitted by the International Bureau)
- b. \_\_\_\_\_ have been transmitted by the International Bureau
- c. \_\_\_\_\_ have not been made; however, the time limit for making such amendments has NOT expired
- d. ☒ have not been made and will not be made
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. §371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)) is:
- ☒ Attached in the regular manner.
- ☐ NOT included, but deferred under P.L. 97-247.

INTERNATIONAL APPLN. NO.: PCT/JP01/03377  
ATTORNEY DOCKET NO.: 1830/50520

JCO7 Rec'd PCT/PTO 11 DEC 2001

10/009607

10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5))
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An Assignment of the invention in favor of the following organization is enclosed for recordation. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST Preliminary Amendment.
- ☐ A SECOND or SUBSEQUENT Preliminary Amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items of information:
- ☐ Form PCT/RO/101 Request (in English/in French)
- ☐ Small Entity Declaration Under 37 C.F.R. 1.27
- ☐ Copy of Form PCT/DO/EI/905 (Notification of Missing Requirements)
- ☐ \_\_\_\_\_ Sheets of Formal Drawings
- ☐ \_\_\_\_\_ Sheets of Informal Drawings
- ☐ The content of the paper and computer readable copy of the attached Sequence Listing, submitted in accordance with 37 CFR \$1.821(c) and (e), respectively, are the same.
- ☒ Kindly appoint as associate attorneys (if not already a principal attorney) or agents:
- Herbert I. Cantor, Reg. No. 24,392; James F. McKeown, Reg. No. 25,406; Donald D. Evenson, Reg. No. 26,160; Joseph D. Evans, Reg. No. 26,269; Gary R. Edwards, Reg. No. 31,824; and Jeffrey D. Sanok, Reg. No. 32,169

INTERNATIONAL APPLN. NO.: PCT/JP01/03377  
ATTORNEY DOCKET NO.: 1830/50520

JCO7 Rec'd PCT/PTO 11 DEC 2001  
10/009607

[X] The total amount due for the filing fee in this case  
is:

[ ] Based on Small Entity Status

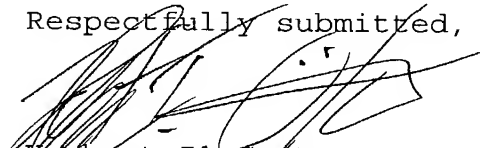
Total Number of Claims: 65

Total Independent Claims: 1

Basic filing fee, \$890/\$445. . . . .	\$ <u>890.00</u>
Independent Claims above 3, \$84/\$42 ea. . . .	\$ . . . .
Total claims in excess of 20, \$18/\$9 ea. . . .	\$ <u>810.00</u>
Multiple dependency penalty, \$280/\$140 . . . .	\$ <u>280.00</u>
Declaration surcharge, \$130/65 . . . . .	\$ <u>0.00</u>
English translation surcharge, \$130 . . . . .	\$ . . . .
TOTAL FILING FEE DUE . . . . .	\$ <u>1980.00</u>

Please forward all communications regarding this  
application to the undersigned at the letterhead address.

Respectfully submitted,

  
Herbert I. Cantor  
Reg. No. 24,392

HIC/tcv

THE COMMISSIONER IS AUTHORIZED TO CHARGE \$1,980 FOR  
THE FILING FEE AND ANY OTHER FEES WHICH MAY BE  
REQUIRED OR CREDIT ANY OVERPAYMENT TO DEPOSIT ACCOUNT  
NO. 05-1323. THIS FORM IS FILED IN DUPLICATE.

THIS IS A GENERAL AUTHORIZATION EXCLUDING ONLY PAYMENT  
OF THE ISSUE FEE.